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<b>REPORT TO:</b>	<b>OVERVIEW AND SCRUTINY COMMITTEE</b>
<b>DATE:</b>	<b>28 JANUARY 2016</b>
<b>REPORT OF THE:</b>	<b>HEAD OF CORPORATE SERVICES CLARE SLATER</b>
<b>TITLE OF REPORT:</b>	<b>RISK STRATEGY ANNUAL REVIEW</b>
<b>WARDS AFFECTED:</b>	<b>ALL</b>

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## **EXECUTIVE SUMMARY**

### **1.0 PURPOSE OF REPORT**

- 1.1 To present the annual review of the Risk Management Strategy to Members for consideration.

### **2.0 RECOMMENDATION**

- 2.1 It is recommended that Members note the content of the annual review of the Risk Management Strategy.

### **3.0 REASON FOR RECOMMENDATION**

- 3.1 Risk identification and management is an integral element of organisational management to secure the achievement of the Council's corporate objectives. Risk Management should also form a key part of any budget making decisions, other decisions made by Committee and the management of service delivery, projects and partnerships.

### **4.0 SIGNIFICANT RISKS**

- 4.1 The strategy defines both the process behind risk management and the appetite of the Council to risk.

## **REPORT**

### **5.0 BACKGROUND AND INTRODUCTION**

- 5.1 The Risk Management Strategy is agreed by Members annually and is attached at Annex A.
- 5.2 The primary objectives of the strategy are to:-
- Further develop risk management and raise its profile across the Council.

- Integrate risk management further into the organisational culture of service planning and managing performance.
- Further embed risk management through the ownership and management of risk as part of all decision-making processes, both at officer and member level.
- Manage risk in accordance with best practice.
- Create effective processes that will allow the council to produce risk management assurance statements annually.

## **6.0 POLICY CONTEXT**

6.1 Risk management is essential to ensuring the delivery of the Council Business Plan and also maintaining effective governance arrangements. The arrangements for external audit are risk based.

## **7.0 CONSULTATION**

7.1 The Risk Management Strategy is developed and managed by the Council's Management Team. All service areas are therefore involved in its development through the Corporate Planning Framework.

## **8.0 REPORT DETAILS**

8.1 The risk management arrangements for the Council were reviewed by Veritau in 2015/16. The purpose of this review was to test the soundness of the systems associated with Risk Management and included a review of the Risk Management Strategy.

8.2 The opinion of the audit of risk management processes was that of substantial assurance. The audit included a number of recommendations which have been actioned as follows:

- Maintaining information on Covalent - review completed
- Publishing the Risk strategy on the intranet and public website - completed
- Training for staff and members in risk management - Completed for managers, training needs programming for the members
- Including a timetable for monitoring and reporting risks in the Risk Strategy - completed see annex A

8.3 Actions taken to further develop the corporate approach to risk management include training for all managers on risk management and covalent and revision of all risk plans and service delivery plans.

## **9.0 IMPLICATIONS**

9.1 The following implications have been identified:

- a) Financial  
The role of supporting Risk Management within the Council is now being undertaken by members of the Business Improvement Team within the Business Support Hub.
- b) Legal  
None.
- c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime &

Disorder)  
None.

**Clare Slater**  
**Head of Corporate Services**

**Author:** Clare Slater, Head of Corporate Services  
**Telephone No:** 01653 600666 ext 347  
**E-Mail Address:** [clare.slater@ryedale.gov.uk](mailto:clare.slater@ryedale.gov.uk)

**Background Papers:**

Council Plan 20014-17  
Annual Governance Statement  
Risk Registers:

- Corporate Risk Register
- Service Risk Registers
- Significant Partnerships Risk Register

Internal Audit of Risk Management Arrangements – August 2012  
Internal Audit of Partnerships - 2013

**Background Papers are available for inspection at:**

Covalent  
[www.ryedale.gov.uk](http://www.ryedale.gov.uk)